

Transamerica Funds 403(b)(7) Direct Rollover Request Form



Use this form to roll over an existing 403(b) or 403(b)(7) tax-sheltered annuity, 401(a) tax-qualified pension or profit sharing plan, individual retirement account or annuity (Traditional, SIMPLE, or SEP), or 457(b) governmental deferred compensation plan directly into a Transamerica Funds 403(b)(7) account. Forms for all other types of accounts are available on our website at www.transamerica.com. For assistance, call Transamerica Fund Services, Inc. toll free at 1-888-233-4339 Monday through Friday.

Procedures for Rolling Over Accounts

To complete a rollover, be sure to complete the following steps:

- Complete and sign the Transamerica Funds 403(b)(7) application.
- Complete and sign the relevant direct rollover form.
- Carefully read and retain the 403(b)(7) Custodial Agreement for your records.
- Please have the form stamped with a Securities Transfer Agents Medallion Program (STAMP2000) Signature Guarantee.
- Please include a complete copy of your current account statement from your present custodian.

Mail the completed rollover form and application (if opening a new account) to:

Regular Mail

Transamerica Fund Services, Inc.
P. O. Box 219945
Kansas City MO 64121-9945

Overnight Mail

Transamerica Fund Services, Inc.
330 W. 9th Street
Kansas City MO 64105

Payment Instructions for Present Custodians

Please make check payable to Transamerica Fund Services, Inc.

Section One – Account Holder Information

Name of Account Owner*

Address (as shown on your account)*

City*

State*

Zip Code*

Social Security Number*

Date of Birth*

E-Mail Address

Daytime Telephone Number

Section Two – Current Custodian Information

Current Custodian or Trustee*

Address*

City*

State*

Zip Code*

Telephone Number*

Fax Number

Note: Most plan custodians/trustees require their own forms be completed prior to any distribution or rollovers. Please check with your current plan Custodian or Trustee, or with your former employer, to complete any of their required forms, in addition to or in place of this form. Incomplete or incorrect forms may result in long delays.

**Required Information*

Section Three – Accounts to be Rolled Over

If the Direct Rollover is from an outside Custodian or Trustee, please check below:

Rollover from:

- Qualified Plan (401(k), Profit Sharing Plan, Money Purchase Pension Plan, etc.) (703)
- Individual Retirement Account or annuity (Traditional, SIMPLE, or SEP) (703)
- Governmental 457 Plan (703)
 - I have completed the necessary forms from my previous employer or current Custodian/Trustee to roll over my qualified plan retirement assets to a Transamerica Funds 403(b)(7) account.
 - I have not completed the necessary forms from my previous employer or current Custodian/Trustee to roll over my qualified plan retirement assets to a Transamerica Funds 403(b)(7) account.

Rollover to:

- 403(b)(7)

If the Direct Rollover is from a Transamerica Funds account, please complete below:

- Liquidate and roll over the accounts noted here:

Amount or percentage
of each to be rolled over (REQUIRED)

Fund and Account Number

100% % \$

Fund and Account Number

100% % \$

Fund and Account Number

100% % \$

Additional Information

If the participant already maintains an existing Transamerica Funds 403(b)(7) account and desires to roll over tax-sheltered account or annuity plan assets to the existing account, a new Transamerica Funds 403(b)(7) application is not required. In this case, you only need to send the completed Transamerica Funds 403(b)(7) Direct Rollover Request Form to State Street Bank and Trust Company, c/o Transamerica Fund Services, Inc. and indicate the existing Transamerica Funds 403(b)(7) account number, shareholder's name, and Social Security Number on the form for proper identification.

Section Four – Instructions for Transamerica Fund Services, Inc.

The rollover assets should be applied to a(n):

- New Transamerica Funds 403(b)(7) account, State Street Bank and Trust Company as Custodian.**
(Not available for Class P shares)

A completed Transamerica Funds 403(b)(7) Application must accompany this Direct Rollover Request Form. Your rollover assets will be allocated as indicated in Section Three of your new account application.

- Existing Transamerica Funds 403(b)(7) account, State Street Bank and Trust Company as Custodian.** Unless you indicate otherwise, your rollover assets will be allocated as indicated on your original Transamerica Funds 403(b)(7) Account Application. If you would like to allocate your rollover assets differently, complete the section below.

Total for all funds must equal 100%

Fund and Account Number 100% %

Fund and Account Number 100% %

Fund and Account Number 100% %

Section Five – Authorization and Acceptance

Account Holder Authorization: I hereby authorize State Street Bank and Trust Company to deposit the assets received from my existing retirement plan according to the terms stated in this form. I hereby acknowledge that strict requirements must be met to qualify for tax-free rollover treatment; I hereby certify that the assets being directly rolled over constitute an eligible roll over distribution as defined in the Internal Revenue Code of 1986, as amended.

Signature

Date

Signature Guarantee

Name of Signing Officer for Signature Guarantee (please print)

Date

Custodian Acceptance: State Street Bank and Trust Company agrees to accept the rollover of the above noted assets for deposit to the Depositor's State Street Bank and Trust Company 403(b)(7) Custodial Account, and requests the liquidation and rollover of assets as indicated above.

Authorized Signature (as agent for State Street Bank and Trust Company)

Date

State Street Bank and Trust Company will complete its portion of the Direct Rollover Request Form, as Successor Custodian, and send the letter to the present Custodian/Trustee.